

NOTICES OF SUBSTANTIVE POLICY STATEMENT

The Administrative Procedure Act requires the publication of substantive policy statements issued by agencies (A.R.S. § 41-1013(B)(14)). Substantive Policy Statements are written expressions which inform the general public of an agency's current approach to rule or regulation practice. Substantive policy statement does not include internal procedural documents which may only affect the internal procedures of the agency and does not impose additional requirements or penalties on regulated parties in accordance with A.R.S. Title 41.

NOTICE OF SUBSTANTIVE POLICY STATEMENT

ARIZONA CORPORATION COMMISSION

- 1. Title of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:**
Commission Policy Regarding Electronic Publication of Recognized Manuals of Securities Designated in A.A.C. R14-4-114, December 14, 1999, CCH Blue Sky Law Reporter 9696V
- 2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**
Initial issue date: Date listed in item #1 above
Initial effective date: Same as issue date
Repeal date: January 15, 2001
- 3. Summary of the contents of the substantive policy statement:**
The policy statement provided recognition to electronic versions of publications for purposes of the manuals designated in A.A.C. R14-4-114.
- 4. A statement as to whether the substantive policy statement is a new statement or a revision:**
As a result of rule revision, the content of the policy statement listed above is currently incorporated in the text of the rule. The policy statement is therefore no longer needed and is repealed.
- 5. The name, address, and telephone number of the person to whom questions and comments about the substantive policy statement may be directed:**
Name: Sharleen A. Day
Address: Securities Division
Arizona Corporation Commission
1300 West Washington, 3rd Floor
Phoenix, AZ 85007-2996
Telephone: (602) 542-4242
- 6. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**
Not applicable

NOTICE OF SUBSTANTIVE POLICY STATEMENT

DEPARTMENT OF LIQUOR LICENSES AND CONTROL

- 1. Subject of the substantive policy statement and the substantive policy statement number by which the policy statement is referred:**
Liquor License Training: Requirements and Course Outline for License Applicants and Managers
Compliance Section
- 2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**

Arizona Administrative Register
Notices of Substantive Policy Statement

Issue Date: November 6, 1997

Effective Date: November, 1997

Revision Date: May 27, 1999

Revision Date: February 1, 2002

3. Summary of the contents of the substantive policy statement:

The contents of this policy statement outline the requirements established by the Department for Liquor License training courses for applicants.

4. A statement as to whether the substantive policy statement is a new statement or a revision:

This substantive policy statement is a revised document as of November 6, 1997, of the Substantive Policy Statement

5. The name, address, and telephone number of the person to whom questions and comments about the substantive policy statement may be directed:

Name: Gary Shumann

Address: Compliance Division
800 West Washington, 5th Floor
Phoenix, AZ 85007

Telephone: (602) 542-9043

6. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:

A copy of the substantive policy statement can be obtained for a fee of 10 cents per policy statement at the Arizona Department of Liquor Licenses and Control, 800 West Washington, 5th Floor, Phoenix, AZ 85007.